



Dermal Sensitization Quantitative Risk Assessment (QRA) For Fragrance Ingredients

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IDEA Workshop

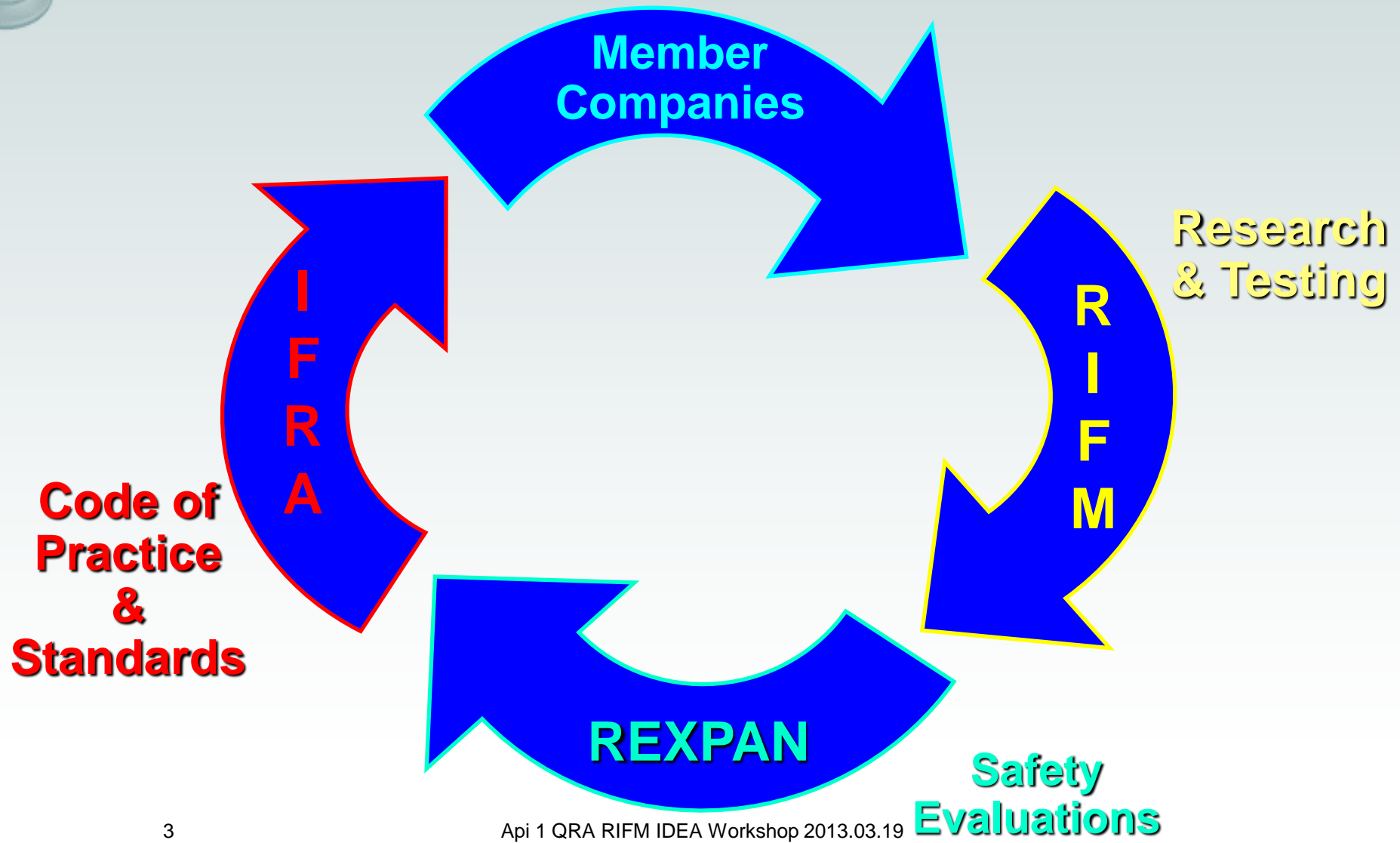
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RIFM Background



Fragrance Ingredient Safety





Quantitative Risk Assessment for Dermal Sensitization Method

Primary vs. Secondary Prevention



Primary Prevention

- Induction
- Initial phase - Acquire Sensitization; the immunologic memory for a contact sensitizer is created
- Premise of RIFM testing and the basis for IFRA Standards on sensitization

Secondary Prevention

- Elicitation
- Manifestation of Sensitization; the specific migratory inflammatory cells, upon renewed contact with the contact sensitizer, will proliferate and induce a cascade of inflammatory events in the exposed skin area.
- Concern from dermatologists

QRA: Why?



- **Goal or ideal state is to eliminate fragrance allergy in the general population**
- **Core strategy for primary prevention of dermal sensitization to fragrance ingredients in consumer products**
- **Prevent induction of sensitization to fragrance ingredients (primary prevention) more effectively than we have in the past**

Lead with a scientifically rigorous strategy

General Risk Assessment Principles



- **Acceptable Exposure Level (RfD or AEL)** Estimate of a daily exposure to an agent that is assumed to be without a health impact in the human population

$$\text{Acceptable Exposure Level (RfD or AEL)} = \frac{\text{NOEL}}{\text{Uncertainty Factor (UF)}}$$

Induction of Dermal Sensitisation Quantitative Risk Assessment



- Application to induction of skin sensitization - also a threshold phenomenon
- Using exposure-based risk assessment
 - Induction:
 - Determine hazard - understand pre-clinical/clinical data
 - Determine known benchmarks
 - Calculate sensitization assessment factors
 - Set standard of acceptability - Acceptable Exposure Level
 - Understand consumer exposure e.g. shampoo, facial cream etc...
 - Compare Acceptable Exposure Level and consumer exposure
- Risk assessment conclusions for induction of contact allergy

Application of Induction QRA To Fragrance Ingredients



- **Step 1 – Potential hazard identification – can have numerous studies**
 - **Example: cinnamic aldehyde**
 - >30 guinea pig studies
 - >20 LLNAs
 - > 5 Human Maximisation studies
 - >10 HRIPTs
 - >250 DPTs
- **Step 2 – Dose response, What is the known benchmark and how to define it**
 - **Which data to use**
 - **Robustness of the data**
 - **Use of a Weight of Evidence (WoE) approach**
 - **Definition of Known Benchmark – No Expected Sensitising Induction Level (NESIL)**
 - **Development of guidelines to apply WoE approach to NESIL determination**

Application of Induction QRA To Fragrance Ingredients



- Step 3 – Exposure assessment
 - Step 4 - Risk characterization
- Calculation of Acceptable Exposure Level

Acceptable
Exposure
Level (AEL)

$$= \frac{\text{WoE NESIL}}{\text{Sensitisation Assessment Factor (SAF)}}$$

- Comparison of Acceptable Exposure Level (AEL) to calculated consumer exposure (CEL)

QRA For Dermal Sensitization Fragrance Ingredients



Application to **induction** of skin sensitization - a threshold phenomenon

- **Step 1: Hazard Identification**
 - **Determine potential (hazard) to induce sensitization from:**
 - **Pre-clinical studies e.g. Guinea-Pig Test, Local Lymph Node Assay (LLNA)**
 - **Human data (historical) – Maximization, RIPTs, DPTs**
 - **Structure based predictive approach**

QRA For Dermal Sensitization Fragrance Ingredients



- **Step 2: Dose response assessment:**
 - **Takes into account key factors:**
 - **Determine the No-Expected-Sensitization Induction-Level (NESIL) based on the Weight of Evidence (WoE)**
 - **Calculate Sensitization Assessment Factor (SAF)**

Dose Response: NESIL Determination



- Establishment of scientifically sound NESILs is key to conduct of dermal sensitization QRA methodology
 - Weight of evidence approach to use of data
 - Uses all of the available scientifically robust data
 - Identifies studies inappropriate for consideration
 - Can be derived from animal and human data
 - Uses a defined dose metric - dose/unit area (mg/cm²)
 - Guidelines established for NESIL determination



WoE NESIL GUIDELINES

- **Guideline #1: Dose metric for exposure**
 - Rationale for dose metric as quantity of chemical per unit area of the skin (e.g. $\mu\text{g}/\text{cm}^2$) is based on experimental investigations, basic immunological principles and historical data (humans and experimental animals)
- **Guideline #2: Hierarchy of human data**
 - A NOEL from a well run HRIPT will have precedence over NOELs from other repeated exposure human volunteer tests
- **Guideline #3: LOEL from historical human volunteer tests**
 - A Lowest Observed Effect Level from other human tests that is lower than the HRIPT NOEL will be considered unless there is a rationale to disregard



WoE NESIL Guidelines

- **Guideline #4: Use of human volunteer data other than HRIPT**
 - In the absence of an HRIPT NOEL a NOEL from a different human volunteer test (e.g. HMT) can be used provided that it is supported by an LLNA EC3 value
- **Guideline #5: Use of guinea-pig tests as secondary data sources**
 - Adjuvant tests in animals and non-adjuvant tests in guinea pigs shall not be used as primary sources for defining NESILs but can contribute to determining potency classification
- **Guideline #6: LLNA data only**
 - LLNA data only available - consider a confirmatory HRIPT. A cautious approach will be used for selection of the dose level used in such confirmatory HRIPTs



WoE NESIL Guidelines

- **Guideline 7: Hierarchy of human versus animal data**
 - A NOEL from a well run HRIPT will (even if higher) have precedence over all other NOELs. Significant discrepancy between a HRIPT NOEL and an LLNA EC3 value will require further consideration. An LLNA EC3 value that exceeds an HRIPT NOEL will not define the NESIL
- **Guideline 8: Diagnostic Patch Test (DPT) data**
 - Data from DPT studies can not be used directly in a WoE approach for NESILs determination. Such studies can be useful to help determine the need for additional data



SAF Definition

- **Extrapolation from controlled experimental situation to real life exposure scenarios**
 - Defined more effectively the areas of assessment in extrapolating from experimental to real-life scenarios
 - Use of WoE approach to determine values for the defined areas of assessment
 - Decisions supported by peer-reviewed scientific literature references
 - Three areas of extrapolation
 - Inter-individual susceptibility
 - Matrix effects
 - Use considerations

SAF Application

- **Inter-individual variability**
 - Age
 - Gender
 - Ethnicity
 - Genetic effects
 - Sensitive subpopulations
 - Inherent dermal integrity
- **Default uncertainty factor of 10 in line with the uncertainty factor for this area applied in general toxicology**

Felter *et al.* 2002 *Contact Dermatitis* 47: 257-266



SAF Application

- **Vehicle or product matrix effects**
 - Product matrix to which consumers exposed in normal use vs. the vehicle in experimental NOEL studies
 - Most vehicles in experimental studies are simple
 - Consumer products are much more complex
 - Presence of irritants, penetration enhancers
 - HRIPT vehicle contains ethanol
- **Defined values of 1, 3 or 10 for different product types**



SAF Application

- **Use considerations**
 - **Site:** part of the body exposed to the product and site of the body exposed for the generation of the experimental NOEL
 - Mucosal membrane, scalp, underarm
 - **Barrier integrity:** integrity of barrier function relative to that of the skin in the experimental NOEL condition
 - Shaving, occupational dermatitis
 - **Occlusion:** presence of occlusion decreases the possibility of evaporation, increases hydration
- **Defined values of 1, 3 or 10 for overall evaluation of use considerations**



SAF Summary

—————→ **10**

Inter-individual Variability
(Age, gender, ethnicity, inherent dermal barrier and genetic effects)

1 —————→ **3** —————→ **10**

Vehicle or Product Matrix Effects
(e.g. presence of irritants, penetration enhancers)

1 —————→ **3** —————→ **10**

Use Considerations
(Site of contact, barrier function, occlusion)

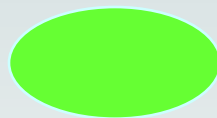
SAF Examples

| Product | Inter-Indiv. Variation | Matrix Effects | Use Considerations | Total SAF |
|-----------|---|--|---|------------|
| Deodorant | SAF = 10 Same as general toxicology | SAF = 3 Product Matrix different from experimental conditions; may contain irritating actives | SAF = 10 Area = underarm; skin easily irritated, highly follicular; area may be shaved. Occlusion similar to experimental conditions ³³⁻³⁶ | 300 |
| Shampoo | SAF = 10 Same as general toxicology | SAF = 3 Product Matrix very different from experimental conditions; may contain irritating ingredients | SAF = 3 Area is the head; highly follicular; scalp is more permeable ^{33,49} | 100 |

Influence Of Area Exposed On Sensitization



62.5mg DNCB

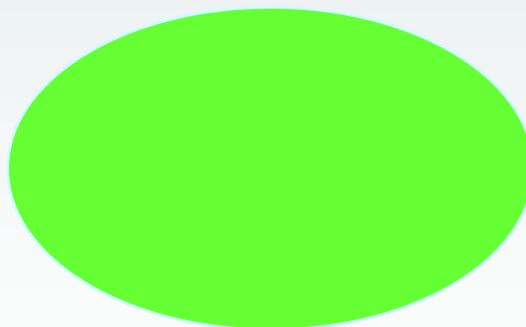


1.8 cm² Site

Sensitization Rate

85%

62.5mg DNCB



7.1 cm² Site

8%

Reviewed in *Contact Dermatitis* 1992, 27:281-286

Importance Of Dose/Unit Area

- Patch type and dose/unit area calculation of a 1% solution
- Dose/unit area calculations for products* containing 0.1% active

| Patch Type | Patch Area (cm ²) | Patch Volume (ml) | Dose/Unit Area (mg/cm ²) |
|-----------------------|-------------------------------|-------------------|--------------------------------------|
| 8mm Finn | 0.5 | 15 | 300 |
| 19mm HillTop | 1.13 | 200 | 1770 |
| Professional Products | 3.61 | 200 | 554 |
| 2x2cm Webril | 4 | 400 | 1000 |

| Product Type | Dose/Unit Area (mg/cm ²) |
|--------------------------|--------------------------------------|
| Fine Fragrance Spray | 75 |
| Antiperspirant/Deodorant | 5 |
| Facial Skin Cream | 2.5 |
| Body Skin Cream | 1 |
| Laundry Hand Wash | 0.01 |
| Washed Fabric | 0.0001 |

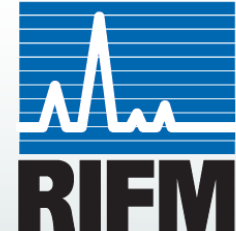
***Historical (not most recent) exposure data used for calculation of dose/area**

Calculation Of Consumer Exposure (CEL)



- Step 3: Exposure assessment
- Understand human exposure through characterization of:
 - Exposed populations
 - Magnitude of exposure under various conditions
 - Duration
 - Frequency
- Calculated as dose/unit area/per diem (mg/cm²/day)
- Hierarchy established for use of exposure data:
 - All sources of data considered
 - Measured data for same product type from different sources - most conservative value used unless rationale to contrary
 - Key studies in which participants used their own products
- Hierarchy established for human parameters data:
 - Surface area measurements for same area of the body - smallest surface area used unless rationale to contrary

Consumer Exposure Level (Dose/Area)



| Product Type | Exposure | |
|--------------------------|-----------------------------|-------------------------|
| | Source | mg/cm ² /day |
| Deo/AP Solid | Cowan-Ellsberry, 2008 | 9.1 |
| Hydroalcoholic, Unshaved | Cano & Rich* | 2.2 |
| Women's Facial Cream | Colipa | 0.2 |
| Shaving Cream | SCCP | 0.07 |
| Eye Product | CTFA | 2.17 |
| Body Cream | Colipa | 0.5 |
| Lip Products | Colipa | 11.7 |
| Hair Sprays | Loretz <i>et al.</i> , 2006 | 2.2 |
| Toothpaste | Colipa | 0.13 |
| Mouthwash | SCCP | 1.4 |
| Shampoo | Loretz <i>et al.</i> , 2006 | 0.2 |
| Body Wash/Gels | SCCP | 0.01 |



Consumer Exposure Level



Exposure assessment for shampoos:

- Calculated exposure = 23,630 mg/day (CTFA)
- Area = 1430 cm² (EPA, 1997; area hands + 1/2 head)
- Retention Factor = 1% or 0.01 (SCCNFP, 2003)

$$\begin{aligned}\text{Exposure} &= 23,630 \text{ mg/day} * 0.01 \div 1430 \text{ cm}^2 \\ &= 0.2 \text{ mg/cm}^2/\text{day}\end{aligned}$$

Risk Characterization For Fragrance Ingredients



- Acceptable Exposure Levels (AELs) to fragrance ingredients that are dermal sensitizers can be determined in specific real life consumer product types

$$\text{Acceptable Exposure Level (AEL)} = \frac{\text{WoE NESIL}}{\text{Sensitization Assessment Factor (SAF)}}$$

- Comparison of Acceptable Exposure Levels (AEL) to calculated Consumer Exposure Level (CEL)

AEL ≥ CEL to be Acceptable

Step 4: Risk Characterization



NESIL

- Which pre-clinical and/or clinical data are available:
 - ? Guinea-pig data
 - ? Local Lymph Node Assay (EC₃ in µg/cm²)
 - ? Human data (historical) (HRIPT NOEL in µg/cm²)
- Based on weight of evidence/default value in µg/cm²

SAF

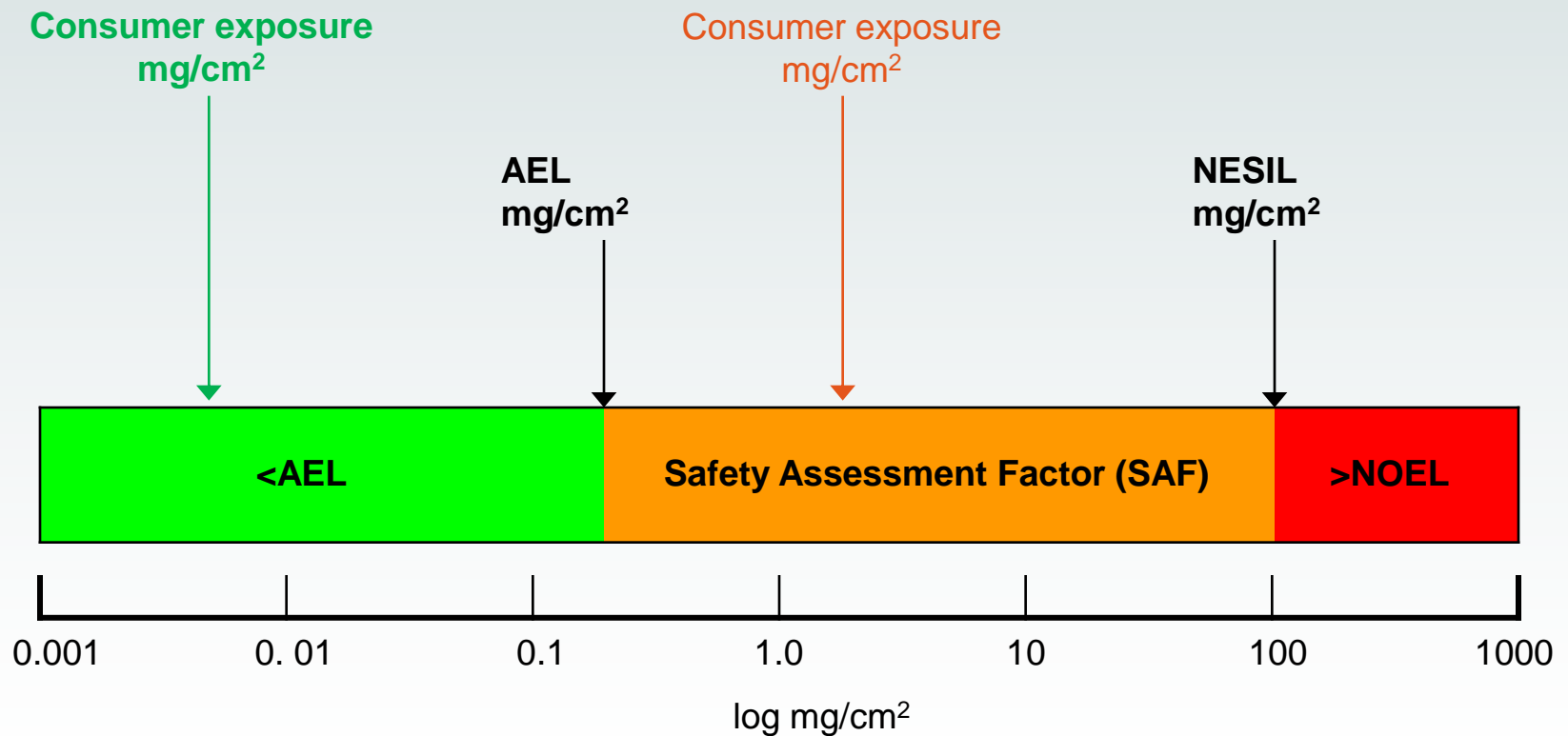
- Considerations for calculation of Sensitisation Assessment Factor:
 - For the product type the SAF is:
 - Inter-individual = 10
 - Product Matrix = 1-10
 - Use considerations = 1-10
- Overall SAF is the multiple of the three defined areas

Exposure

- Calculation for daily exposure to the contact allergen in the product type:
 - = [Amount of contact allergen in product (µg/g product) x Amount product applied (g)]/Surface area exposed (cm²)
- Calculated consumer exposure in µg/cm²



Risk Characterization



Skin Sensitization Risk Assessment

Exposure-Based Risk Assessment - Induction of Contact Allergy

Prospective

Retrospective

New chemicals

- Prevention of induction of contact allergy in a naive population
- Enables determination of correct standards for publication e.g. Cosmetics Directive, IFRA Standards for different product types.....
- Elicitation of allergic contact dermatitis minimized through prevention of induction of contact allergy

Existing chemicals

- Allows confirmation of current risk assessment for known contact allergens in consumer products
- Provides more robust risk assessment for comparison with the clinical picture
- Enables changes to be implemented for published standards for different product types
- Achieves reduction of elicitation incidence rate over time through preventing induction of contact allergy



Citral

● Hazard Identification

- Guinea pig data - weak sensitizer [14]
- Local Lymph Node Assay
 - EC3 = 1414 $\mu\text{g}/\text{cm}^2$ [11]
- LOEL
 - HRIPT: 3876 $\mu\text{g}/\text{cm}^2$ in EtOH 5/8
 - HMT: 2759 $\mu\text{g}/\text{cm}^2$ in pet. 29/150
- Other Data
 - 1240 $\mu\text{g}/\text{cm}^2$ in pet. 0/50
 - 775 $\mu\text{g}/\text{cm}^2$ in EtOH 0/41
 - 338 $\mu\text{g}/\text{cm}^2$ in EtOH 0/40

● Confirmatory HRIPT - NOEL

- 1400 $\mu\text{g}/\text{cm}^2$ in 3:1 DEP:EtOH 0/101

● WoE NESIL = 1400 $\mu\text{g}/\text{cm}^2$

QRA Dermal Sensitization Citral



Weight of Evidence NESIL

- Guinea-pig data – weak sensitizer [14]
- Local Lymph Node Assay
 - ▶ $EC_3 = 1414 \mu\text{g}/\text{cm}^2$ [11]
- Human data
 - ▶ HRIPT NOEL = $1400 \mu\text{g}/\text{cm}^2$
- WoE NESIL = $1400 \mu\text{g}/\text{cm}^2$

SAF

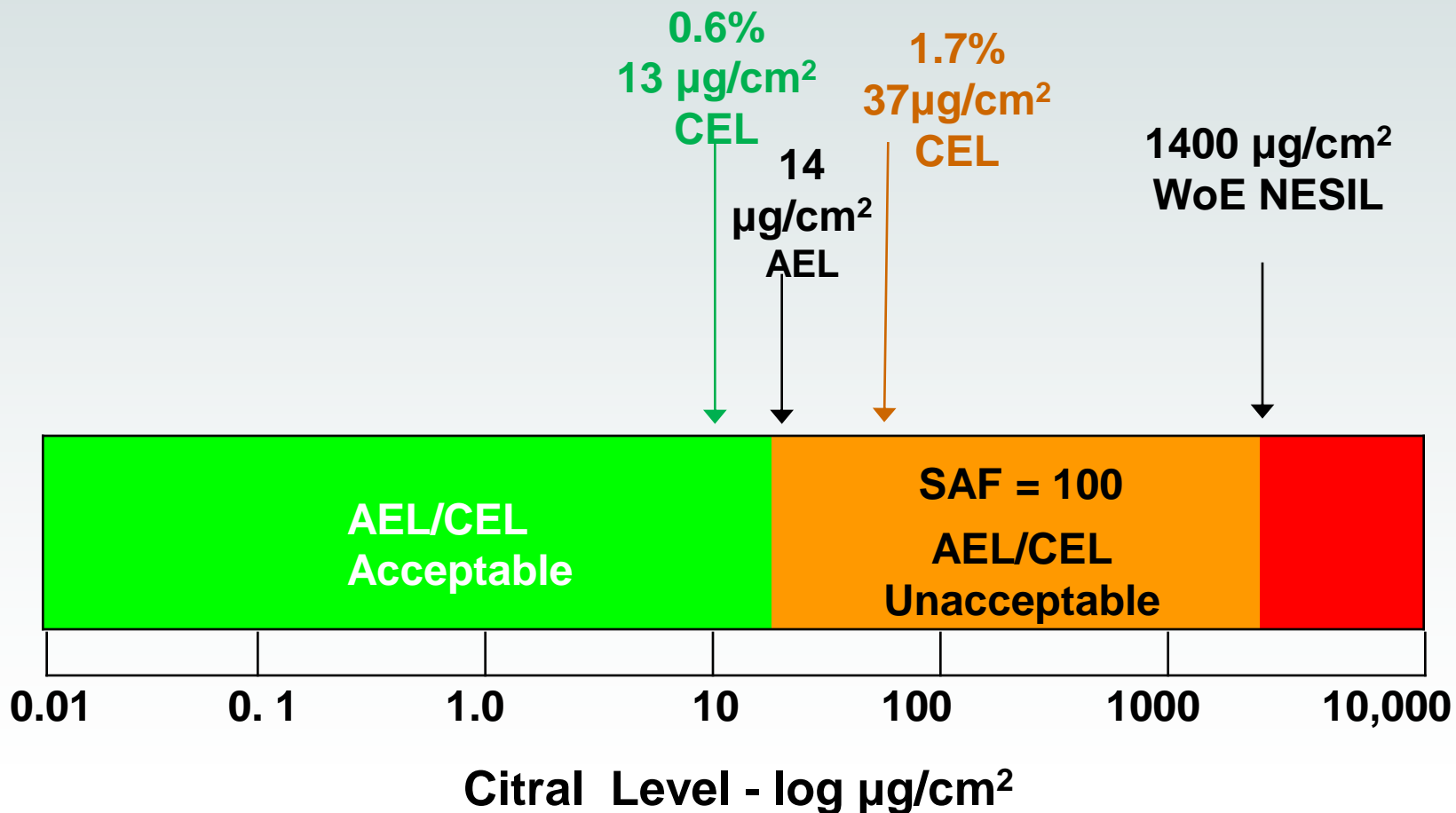
- Considerations
 - ▶ Inter-individual variability
 - ▶ Product matrix differences
 - ▶ Variations in use patterns
- Hydroalcoholic Unshaved SAF is 100
- Deo/AP SAF is 300

Exposure

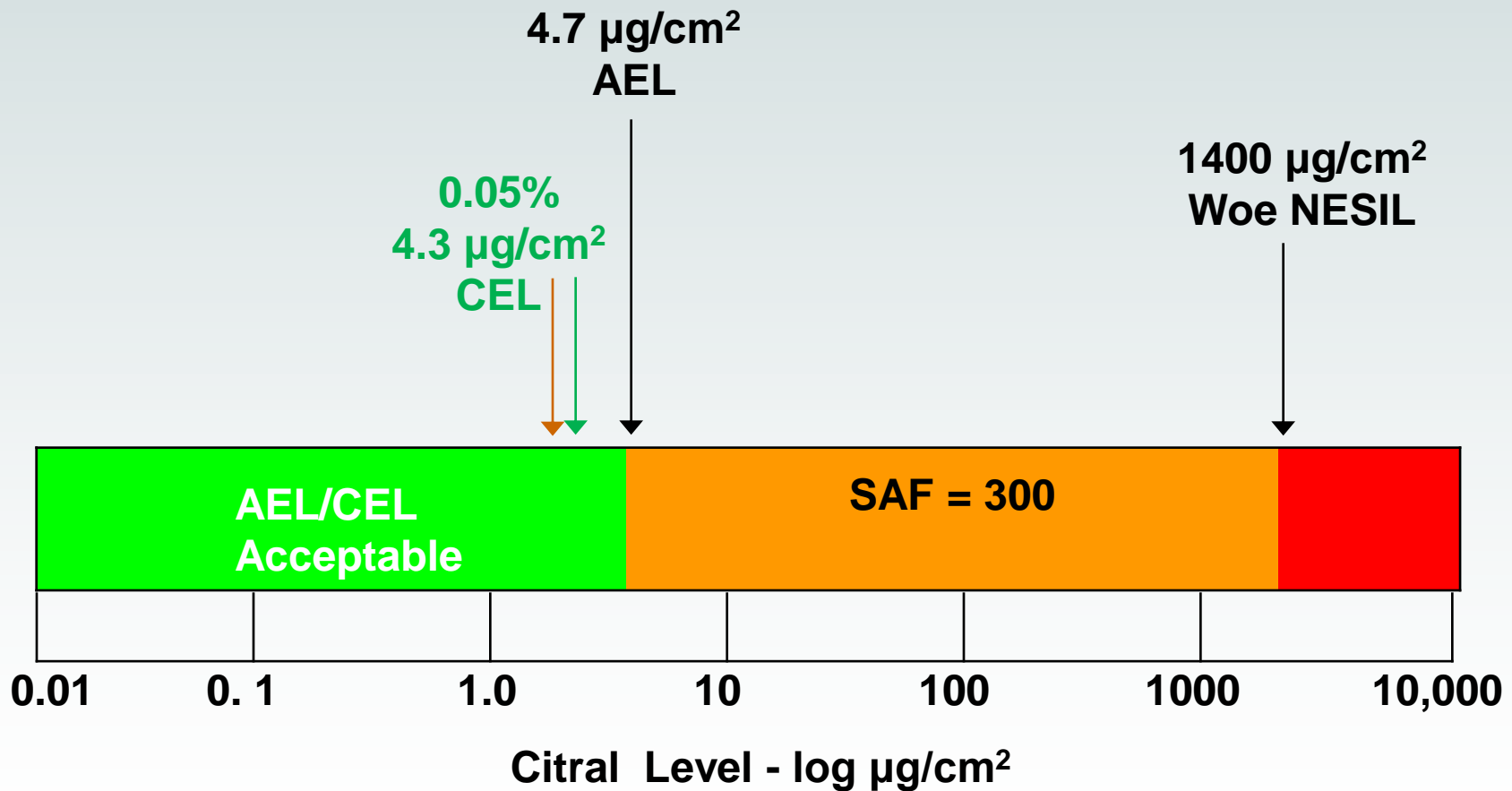
Consumer exposure to:

- Hydroalcoholic (unshaved skin)
= $2.2 \text{ mg}/\text{cm}^2$
 - ▶ $AEL = 1400/100$
= $14.0 \mu\text{g}/\text{cm}^2$
 - ▶ AEL/CEL
($14.0 \mu\text{g}/\text{cm}^2 \times 0.001 \text{ mg}/\mu\text{g}$) $\div 2.2 \text{ mg}/\text{cm}^2/\text{day}$
= 0.006
 - ▶ $AEL \geq CEL \leq 0.6\%$
- DEO/AP = $9.1 \text{ mg}/\text{cm}^2$
 - ▶ $AEL = 1400/300 = 4.7 \mu\text{g}/\text{cm}^2$
 - ▶ $AEL/CEL = 0.0005$
 - ▶ $AEL \geq CEL \leq 0.05\%$

QRA Dermal Sensitization - Citral: Hydroalcoholic Unshaved Skin - Induction



QRA Dermal Sensitization - Citral: Solid AP - Induction



QRA Implementation Status

- 40th Amendment May 2006 – 4 materials
- 42nd Amendment May 2007 – 28 Standards on 51 materials
- 43rd Amendment July 2008 - 18 Standards on 31 materials
- 44th Amendment May 2009 – 12 Standards
- 45th Amendment June 2010 – 4 materials
- 46th Amendment June 2011 – 6 materials
 - only 2 existing Standards remain to be converted to a QRA based Standard
- 47th Amendment Spring 2013

Quantitative Risk Assessment for Dermal Sensitization Method

- **Refinements and Benefits**

Refinements to QRA

- **Exposure**
 - New exposure data (Hall, 2011) was considered.
 - RIFM sponsored work to investigate the effects of aggregate dermal exposure. This is also being incorporated into the methodology.
- **Acceptable Exposure Levels**
 - A more detailed explanation of AELs and how they are applied is being considered. There also is a need for more details on the pragmatic approach and a review of aspects of having high calculated values in (mainly) rinse-off products.
- **Retrospective analysis**
 - More analyses
- **Retail Consumer Products Only**
 - The method does not apply to occupational use of consumer products or consumer products that are covered by other regulations (e.g. medical devices, OTC drugs, drugs).

Benefits of QRA Method

- Lead with a scientifically rigorous strategy
- Major improvement over the former approach
 - addresses elements of exposure-based risk assessment - unique to induction of dermal sensitization
 - consistent with the principles of general toxicology risk assessment
- Risk management strategies
 - 10 different product categories for skin contact products.
 - Category 11 - non-skin or incidental skin contact products
- Exposure - key element of category determination
 - enables maintenance of relevant exposure and therefore safety

More Information



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